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(Substitute) PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** B1180/20049 U.S. APPLICATION NO. **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2004/006799 June 23, 2004 June 23, 2003 TITLE OF INVENTION METHOD FOR DIFFERENTIATING STEM CELLS IN CELLS THAT PRODUCE A PANCREATIC HORMONE APPLICANT(S) FOR DO/EO/US Charli KRUSE and Günter FUHR Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. \boxtimes The US has been elected (Article 31). 4. 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. 🗆 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. D have been communicated by the International Bureau. c. \square have not been made; however, the time limit for making such amendments has NOT expired. d. A have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. \square An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. \square An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 & 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. Other items or information: Express mail transmittal sheet, cover sheet of international publication and return receipt post card

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (If known, see 37 FFB) 5 INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NO PCT/EP2004/006799 B1180/20049 CALCULATIONS PTO USE ONLY The following fees have been submitted: 300 If the written opinion prepared by ISAUS of the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 \$ 200 All other situations \$200 23. Search fee If the written opinion prepared by ISA/US of the international preliminary examination report prepared Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to \$ 400 International Search Report prepared an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400 TOTAL OF 21, 22 and 23 = \$ 900 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in an electronic medium) (37 CFR 1.492(i)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction thereof RATE **Total Sheets** Extra Sheets \$ (round up to a whole number) - 100 = /50 = \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). \$ CLAIMS NO. FILED NUMBER EXTRA RATE Total claims 38 - 20 = 18 x \$50 \$ 900 2 - 3 = 0 x \$200 \$ Independent MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0 + \$360 \$ TOTAL OF ABOVE CALCULATIONS \$1.800 900 \$ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½ \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 900 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 900 Amount to be refunded: Amount to be charged: a. 🗆 A check in the amount of \$ to cover the above fees is enclosed. b. 🛛 03-0075 __ in the amount of \$ __900__ Please charge my Deposit Account No. . to cover the above fees. A duplicate copy of this sheet is enclosed. c. 🛛 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. . A duplicate copy of this sheet is enclosed. d. 🗆 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (\$7 CFR 1.437(a) or (b)) must be filed and granted to restore the Application to pending status. SEND ALL CORRESPONDENCE TO: **SIGNATURE** David M. Tener NAME Customer No. 03000 37,054 REGISTRATION NUMBER

10/561628 M9 Roc'd PCTPTO 20 DEC 2009

Attny Docket No. B1180/20049 PTO Customer No. 03000

Applicants

Charli KRUSE et al

Title

METHOD FOR DIFFERENTIATING STEM CELLS

IN CELLS THAT PRODUCE A PANCREATIC HORMONE

The following documents are submitted for the above-identified invention for entry into the U.S. National stage under 35 U.S.C. §371, based on the International Application No. PCT/EP2004/006799, which includes the following:

- 1. One (1) sheet of Transmittal Letter;
- 2. Calculation sheet, in duplicate;
- 3. Application Data Sheet;
- 4. Preliminary Amendment;
- 5. Cover sheet of International Publication No. WO 2005/001073;
- 6. Twenty-three (23) sheets English translation of Application, including 19 sheets Description, 3 sheets Claims, and 1 sheet Abstract
- 7. Three (3) sheets formal Drawings; and
- 8. Return Receipt Post Card.

"Express Mail" Mailing Label No. EL 962 206 389 US Date of Deposit December 20, 2005

I hereby certify that the above-identified documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Judy Matos	
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